

ORIGINAL PAPER

Transplantation & Cellular Therapy

# Clinical outcomes of modified post-transplantation cyclophosphamide versus granulocyte colony-stimulating factor/anti-thymocyte globulin-based protocol in alternative donor transplantation for severe aplastic anaemia

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## Summary

Post-transplantation cyclophosphamide (PTCy) and granulocyte colony-stimulating factor/anti-thymocyte globulin (G-CSF/ATG) are established protocols for alternative donor haematopoietic stem cell transplantation (AD-HSCT) in severe aplastic anaemia (SAA). A modified PTCy (mPTCy) regimen, featuring increased ATG dosing (2.0 mg/kg/day, days -5 to -3) and reduced cyclophosphamide (40 mg/kg/day, days +3 and +4), showed promising outcomes in a prospective study but lacks direct comparison with G-CSF/ATG. This post hoc comparative analysis utilized data from our prospective mPTCy cohort (ChiCTR2000038297,  $n=101$ , plus a 1-year protocol-consistent enrolment extension,  $n=56$ ) and a retrospective historical G-CSF/ATG cohort ( $n=140$ ) to compare outcomes in AD-HSCT. Both protocols showed similar incidences of engraftment, graft failure and overall survival. Multivariate analysis confirmed reduced risks of 100-day grade II–IV acute graft-versus-host disease (GVHD) (hazard ratio [HR] 0.43, 95% confidence interval [CI] 0.26–0.71,  $p<0.001$ ) and 2-year chronic GVHD (HR 0.33, 95% CI 0.17–0.65,  $p=0.001$ ), and improved 2-year GVHD, relapse/rejection-free survival (GRFS; HR 0.51, 95% CI 0.32–0.83,  $p=0.007$ ) with mPTCy versus G-CSF/ATG. Subgroup analysis revealed superior outcomes with mPTCy in haploidentical-HSCT, while outcomes were comparable between protocols in unrelated donor HSCT. These findings suggest mPTCy superiority over G-CSF/ATG in SAA patients undergoing AD-HSCT, especially haploidentical-HSCT, by reducing GVHD and improving GRFS.

## KEY WORDS

AD-HSCT, G-CSF/ATG, mPTCy, SAA

## INTRODUCTION

Allogeneic haematopoietic stem cell transplantation (allo-HSCT) from an human leukocyte antigen (HLA)-matched sibling donor (MSD) is a standard treatment for

severe aplastic anaemia (SAA).<sup>1,2</sup> For patients lacking an MSD, alternative donor (AD) HSCT strategies, including haploidentical donor (HID) and unrelated donor (URD) HSCT (HLA 10/10 matched [MUD] and HLA 9/10 mismatched [MMUD]), have advanced and are widely used.<sup>3–9</sup>

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Post-transplantation cyclophosphamide (PTCy) and granulocyte colony-stimulating factor (G-CSF)/anti-thymocyte globulin (ATG) (G-CSF/ATG)-based approaches are the major protocols used for AD-HSCT.<sup>6,9–14</sup> Building on the classical Baltimore PTCy protocol,<sup>9,12,13,15,16</sup> several adapted protocols have achieved promising outcomes by altering stem cell sources with combined mobilized bone marrow (BM) and peripheral blood stem cells (PBSCs),<sup>17</sup> adopting ATG- and total body irradiation (TBI)-free regimen,<sup>18</sup> and reducing Cy dosage to 14.5 mg/kg on days +3 and +4.<sup>19</sup> At our institute, we prospectively implemented a modified PTCy (mPTCy) protocol for AD-HSCT (ChiCTR2000038297), featuring an increased ATG dose (from 4.5 to 6.0 mg/kg) with timing shifted from days –9 to –7 to days –5 to –3, and reduced Cy doses (50 to 40 mg/day on days +3 and +4), and graft optimization with combined mobilized BM and PBSCs for HID-HSCT and mobilized PBSCs for URD-HSCT, also achieving excellent clinical outcomes.<sup>3,4</sup>

Two published studies have compared the clinical outcomes of PTCy and G-CSF/ATG-based protocols, demonstrating similar cumulative incidences (CuI) of acute and chronic graft-versus-host disease (GVHD) and overall survival (OS).<sup>20,21</sup> However, the relatively small number of SAA patients receiving HID-HSCT in these studies constrained the strength of the conclusions. Additionally, the comparison of these two protocols in URD-HSCT for SAA remains unexplored. Given the promising results of the prospective mPTCy study for AD-HSCT observed at our centre,<sup>3,4</sup> we expanded our study by 1 year and conducted a post hoc comparative analysis combining prospective mPTCy and retrospective G-CSF/ATG data to identify a better approach for SAA AD-HSCT.

## METHODS

### Patients

All patients were diagnosed with SAA based on published criteria.<sup>22,23</sup> Eligibility required the absence of an available MSD, voluntary donation and physical fitness from HID or URD. Patients with hereditary BM failure and SAA patients complicated with active paroxysmal nocturnal haemoglobinuria—defined by ongoing haemolysis and/or thrombotic complications—were excluded. The prospective mPTCy study, registered at the Chinese Clinical Trial Registry (ChiCTR2000038297), included 101 patients (71 HID-HSCT and 30 URD-HSCT) enrolled from July 2019 to June 2022.<sup>3,4</sup> An additional 56 patients were enrolled (July 2022 to June 2023) as a protocol-consistent extension of the mPTCy study. The historical control cohort included retrospectively enrolled patients who received G-CSF/ATG-based AD-HSCT between August 2012 and September 2021. The last follow-up for the survivors was on 30 June 2024. Written informed consent was obtained from all patients or their legal guardians in accordance

with the Declaration of Helsinki. The study was approved by the Institutional Review Board of Guangzhou First People's Hospital (B-2019-004-01).

Additional definitions, transplantation procedure, HLA typing and stem cell harvesting and assessment of engraftment and supportive care were listed in the **Supporting Information Methods**.

### Study end-points

The primary end-point was the 100-day CuI of grade II–IV acute GVHD (aGVHD) and the 2-year CuI of chronic GVHD (cGVHD). Secondary end-points included 28-day CuI of neutrophil engraftment, 100-day CuI of platelet engraftment, 2-year CuIs of Cytomegalovirus (CMV) and Epstein–Barr virus (EBV) infection, post-transplant lymphoproliferative disorder (PTLD) and graft failure (GF), 2-year OS and 2-year GVHD, relapse/rejection-free survival (GRFS). OS was calculated from transplantation to death or the last follow-up. GRFS events included primary and secondary GF, relapse, grade III–IV aGVHD, moderate/severe cGVHD and death.

### Statistical analysis

Continuous variables were summarized as medians (interquartile range, IQR) or mean  $\pm$  standard error and categorical variables as frequencies (percentages). Group comparisons used the Student's *t*-test or Mann–Whitney *U*-test for continuous variables and the chi-squared or Fisher's exact test for categorical variables. CuIs of engraftment, GF, aGVHD, cGVHD, CMV and EBV infection and PTLD were estimated using competing risk analysis based on Gray's test, with death from any cause as a competing event. Survival probabilities were calculated using the Kaplan–Meier method and compared with the log-rank test.

Univariable and multivariable Cox regression models were used to estimate hazard ratios (HRs) for GRFS in the overall AD-HSCT cohort and the HID-HSCT subgroup. Covariates included age, sex, diagnosis, time from diagnosis to transplantation, transplantation protocol, prior treatment, graft source, mononuclear cell count, CD34<sup>+</sup> cell count, donor–recipient ABO compatibility and donor–recipient sex match, with type of transplantation added for the overall AD-HSCT cohort and donor–recipient relation for the HID-HSCT subgroup. Multivariable Fine–Gray subdistribution hazard models, incorporating the same covariates as in the GRFS analysis and including aGVHD as an additional covariate for the cGVHD model, were used to assess risks of 100-day aGVHD and 2-year cGVHD, accounting for death as a competing risk. Four patients (three HID and one URD in the G-CSF/ATG group) missing CD34<sup>+</sup> cell count data were excluded from analyses. Variables with  $p < 0.1$  in univariable Cox regression and Fine–Gray analysis were included in the multivariable models. EBV and CMV viraemia

risks were assessed using Fine–Gray subdistribution hazard models, adjusted for rituximab and letermovir prophylaxis effects on CuI respectively.<sup>24,25</sup> All analyses were conducted using R version 4.1.3 (<http://www.r-project.org>). Statistical significance was defined as  $p < 0.05$ .

## RESULTS

### Patient characteristics

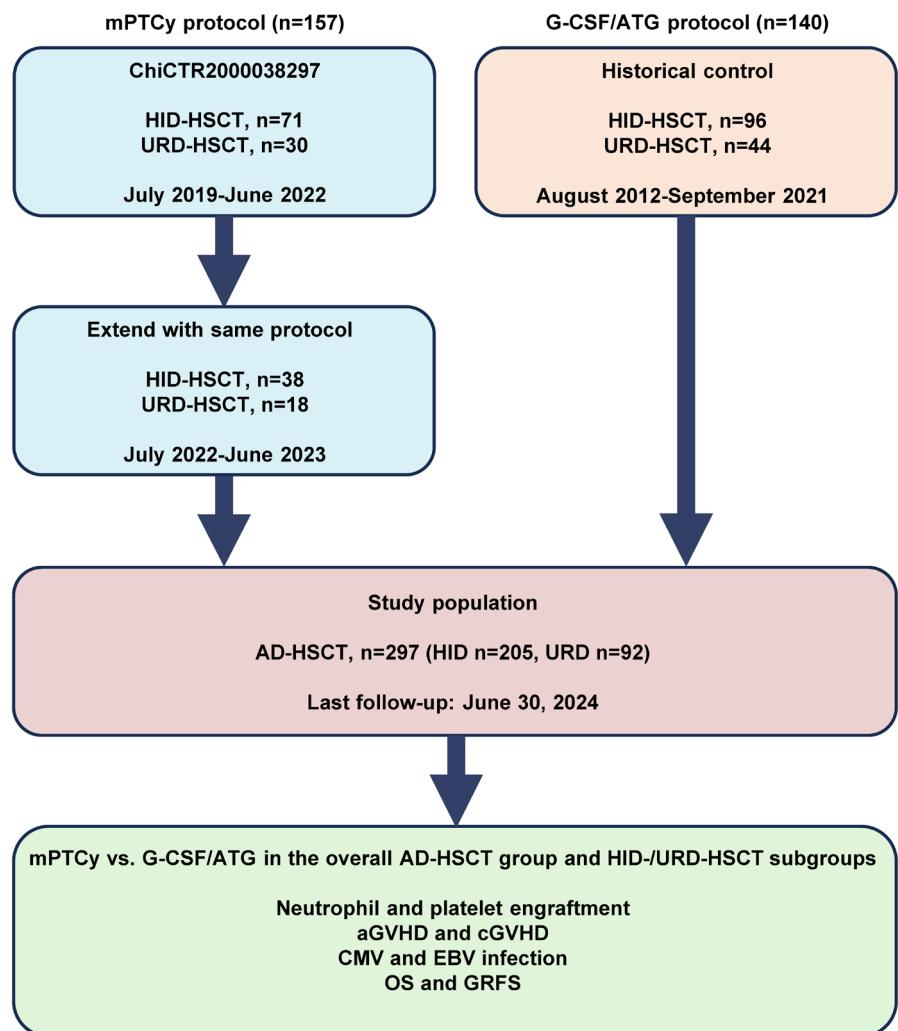
A total of 297 AD-HSCT patients were included: 157 received mPTCy and 140 received G-CSF/ATG (Figure 1). Baseline characteristics were comparable between groups except for a shorter median interval from diagnosis to transplant in the mPTCy group (4 months, IQR 2–14 vs. 7 months, IQR 2–60,  $p = 0.005$ ) and lower median infused cell doses: mononuclear cells ( $9.59 \times 10^8/\text{kg}$ , IQR 9.03–10.40 vs.  $10.9 \times 10^8/\text{kg}$ , IQR 9.85–12.10,  $p < 0.001$ ) and CD34<sup>+</sup> cells ( $3.44 \times 10^6/\text{kg}$ ,

IQR 2.42–4.83 vs.  $4.49 \times 10^6/\text{kg}$ , IQR 2.90–6.16,  $p = 0.002$ ). Additional details are provided in Table 1.

### Engraftment

Compared to the G-CSF/ATG cohort, patients receiving mPTCy experienced a significantly prolonged median time to neutrophil engraftment (13 days, IQR 13–15 vs. 11 days, IQR 10–12,  $p < 0.001$ ), whereas platelet engraftment time was comparable (11.5 days, IQR 10–14 vs. 11 days, IQR 10–15,  $p = 0.179$ ) (Table 1). The CuI of 28-day neutrophil engraftment was slightly higher in the mPTCy group ( $97.5\% \pm 1.3\%$  vs.  $96.4\% \pm 1.6\%$ ,  $p < 0.001$ ), with no significant differences in the CuI of 100-day platelet engraftment and 2-year GF (Figure 2A–C).

Subgroup analyses of HID-HSCT and URD-HSCT cohorts also showed consistent patterns, showing delayed neutrophil engraftment in the mPTCy group (median, HID:



**FIGURE 1** Schematic of patients included in present study. AD-HSCT, alternative donor haematopoietic stem cell transplantation; aGVHD, acute graft-versus-host disease; cGVHD, chronic graft-versus-host disease; CMV, cytomegalovirus; EBV, Epstein–Barr virus; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; GRFS, relapse/rejection-free survival; HID, haploidentical donor; mPTCy, modified post-transplantation cyclophosphamide; OS, overall survival; URD, unrelated donor. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

TABLE 1 Patient characteristics in transplantation.

Characteristics	AD-HSCT			HID-HSCT			URD-HSCT		
	G-CSF/ATG (n=140)	mPTCY (n=157)	<i>p</i>	G-CSF/ATG (n=96)	mPTCY (n=109)	<i>p</i>	G-CSF/ATG (n=44)	mPTCY (n=48)	<i>p</i>
Age at transplant, years, median (IQR)	29.0 (21.0, 38.0)	27.0 (18.0, 36.0)	0.082	26.5 (20.0, 34.2)	25.0 (17.0, 36.0)	0.717	33.0 (29.0, 43.0)	29.5 (20.2, 37.0)	0.008
Gender, <i>n</i> (%)									
Female	57 (40.7)	68 (43.3)		35 (36.5)	47 (43.1)		22 (50.0)	21 (43.8)	
Male	83 (59.3)	89 (56.7)		61 (63.5)	62 (56.9)		22 (50.0)	27 (56.3)	
Interval diagnosis to transplant, months, median (IQR)	7 (2, 60)	4 (2, 14)	0.005	5 (2.0, 43.5)	2.0 (1.5, 7.0)	0.008	11.5 (4, 87)	9 (5, 56.8)	0.181
Diagnosis, <i>n</i> (%)									
NSAA	8 (5.7)	9 (5.7)		2 (2.1)	3 (2.8)		6 (13.6)	6 (12.5)	
SAA	64 (45.7)	72 (45.9)		39 (40.6)	39 (35.8)		25 (56.8)	33 (68.8)	
VSAA	68 (48.6)	76 (48.4)		55 (57.3)	67 (61.5)		13 (29.5)	9 (18.8)	
Prior treatment, <i>n</i> (%)									0.117
None/CSA±TPO-RA	120 (85.7)	142 (90.4)		87 (90.6)	99 (90.8)		33 (75.0)	43 (89.6)	
ATG-based IST <sup>a</sup>	20 (14.3)	15 (9.6)		9 (9.4)	10 (9.2)		11 (25.0)	5 (10.4)	
Graft source, <i>n</i> (%)									-
BM + PBSC	93 (66.4)	108 (68.8)		93 (96.9)	108 (99.1)		-	-	
PBSC	47 (33.6)	49 (31.2)		3 (3.1)	1 (0.9)		44 (100)	48 (100)	
Neutrophil engraftment time, days, median (IQR)	11 (10, 12)	13 (13, 15)	<0.001	11 (10, 12)	13 (13, 15)	<0.001	11 (10, 14)	13 (13, 14.5)	0.032
Platelet engraftment time, days, median (IQR)	11 (10, 15)	11.5 (10, 14)	0.179	12 (10, 14.5)	11 (10, 14)	0.369	11 (10, 14.8)	12 (10.2, 14)	0.318
Donor/patient gender, <i>n</i> (%)									0.791
Female/female	12 (8.6)	14 (8.9)		9 (9.4)	9 (8.3)		3 (6.8)	5 (10.4)	
Male/male	67 (47.9)	62 (39.5)		47 (49.0)	40 (36.7)		20 (45.5)	22 (45.8)	
Male/female	44 (31.4)	54 (34.4)		26 (27.1)	38 (34.9)		18 (40.9)	16 (33.3)	
Female/male	17 (12.1)	27 (17.2)		14 (14.6)	22 (20.2)		3 (6.8)	5 (10.4)	
ABO match, <i>n</i> (%)									0.071
Matched	72 (51.4)	84 (53.5)		57 (59.4)	64 (58.7)		15 (34.1)	20 (41.7)	
Minor mismatched	32 (22.9)	34 (21.7)		21 (21.9)	20 (18.3)		11 (25.0)	14 (29.2)	
Major mismatched	28 (20.0)	22 (14.0)		13 (13.5)	16 (14.7)		15 (34.1)	6 (12.5)	
Different	8 (5.7)	17 (10.8)		5 (5.2)	9 (8.3)		3 (6.8)	8 (16.7)	
Protocol, <i>n</i> (%)							<0.001		<0.001
G-CSF/ATG	140 (100)	0 (0)		96 (100)	0 (0)		44 (100)	0 (0)	
mPTCY-BU	0 (0)	57 (36.3)		0 (0)	33 (30.3)		0 (0)	24 (50.0)	
mPTCY-TBI	0 (0)	100 (63.7)		0 (0)	76 (69.7)		0 (0)	24 (50.0)	

TABLE 1 (Continued)

Characteristics	AD-HSCT			HID-HSCT			URD-HSCT		
	G-CSF/ATG (n=140)	mPTCY (n=157)	p	G-CSF/ATG (n=96)	mPTCY (n=109)	p	G-CSF/ATG (n=44)	mPTCY (n=48)	p
MNC count, $\times 10^8$ /kg, median (IQR)	10.9 (9.85, 12.10)	9.59 (9.03, 10.40)	<0.001	11.30 (10.20, 12.30)	9.56 (9.04, 10.30)	<0.001	10.30 (8.67, 11.5)	9.62 (8.76, 10.4)	0.144
CD34 <sup>+</sup> cell count, $\times 10^6$ /kg, median (IQR)	4.49 (2.90, 6.16)	3.44 (2.42, 4.83)	0.002	4.47 (2.96, 5.84)	3.22 (2.23, 4.38)	<0.001	4.59 (2.45, 7.16)	4.24 (2.98, 6.12)	0.399
Donor/patient relation, n (%)				0.008		0.003			
Sibling	41 (29.3)	63 (40.1)		41 (42.7)	63 (57.8)		-	-	
Child/parent	20 (14.3)	6 (3.8)		20 (20.8)	6 (5.5)		-	-	
Parent/child	35 (25.0)	40 (25.5)		35 (36.5)	40 (36.7)		-	-	
URD	44 (31.4)	48 (30.6)		-	-		-	-	
Type of transplantation				0.245		0.144			
HID	96 (68.6)	109 (69.4)		-	-		-	-	
MUD	26 (18.6)	20 (12.7)		-	-		26 (59.1)	20 (41.7)	
MMUD	18 (12.9)	28 (17.8)		-	-		18 (40.9)	28 (58.3)	
Follow-up time for surviving patients, days, median (IQR)	2511 (2148, 3042)	866 (639, 1259)	<0.001	2768 (2290, 3266)	951 (646, 1370)	<0.001	2194 (1712, 2417)	795 (621, 1143)	<0.001
Cause of death, n (%) <sup>a</sup>				-	-	-			
GVHD	4 (2.9)	0 (0)		3 (3.1)	0 (0)		1 (2.3)	0 (0)	
Haemorrhage	3 (2.1)	0 (0)		3 (3.1)	0 (0)		0 (0)	0 (0)	
Infection	11 (7.9)	11 (7.0)		10 (10.4)	8 (7.3)		1 (2.3)	3 (6.3)	
PTLD	1 (0.7)	0 (0)		1 (1.0)	0 (0)		0 (0)	0 (0)	
Suicide	1 (0.7)	0 (0)		1 (1.0)	0 (0)		0 (0)	0 (0)	
Clonal evolution	0 (0)	1 (0.6)		0 (0)	1 (0.9)		0 (0)	0 (0)	
Organ failure	0 (0)	2 (1.3)		0 (0)	2 (1.8)		0 (0)	0 (0)	
Primary GF	0 (0)	3 (1.9)		0 (0)	3 (2.8)		0 (0)	0 (0)	
Secondary GF	3 (2.1)	0 (0)		3 (3.1)	0 (0)		0 (0)	0 (0)	
Withdrew	0 (0)	2 (1.3)		0 (0)	2 (1.8)		0 (0)	0 (0)	

Abbreviations: AD-HSCT, alternative donor haematopoietic stem cell transplantation; BM, bone marrow; CSA, cyclosporin; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; GF, graft failure; GRFS, relapse/rejection-free survival; GVHD, graft-versus-host disease; HID, haploidentical donor; IQR, interquartile range; IST, immunosuppressive therapy; MNC, mononuclear cell count; mPTCY, modified post-transplantation cyclophosphamide; MUD, HLA 10/10 matched unrelated donor; MMUD, HLA 9/10 mismatched unrelated donor; PBSCT, peripheral blood stem cell; PTLD, post-transplant lymphoproliferative disorder; SAA, severe aplastic anaemia; TBI, total body irradiation; TPO-RA, thrombopoietin receptor agonists; URD, unrelated donor; VSSA, very severe aplastic anaemia.

<sup>a</sup>List the detailed causes of death without performing a statistical comparison between groups.

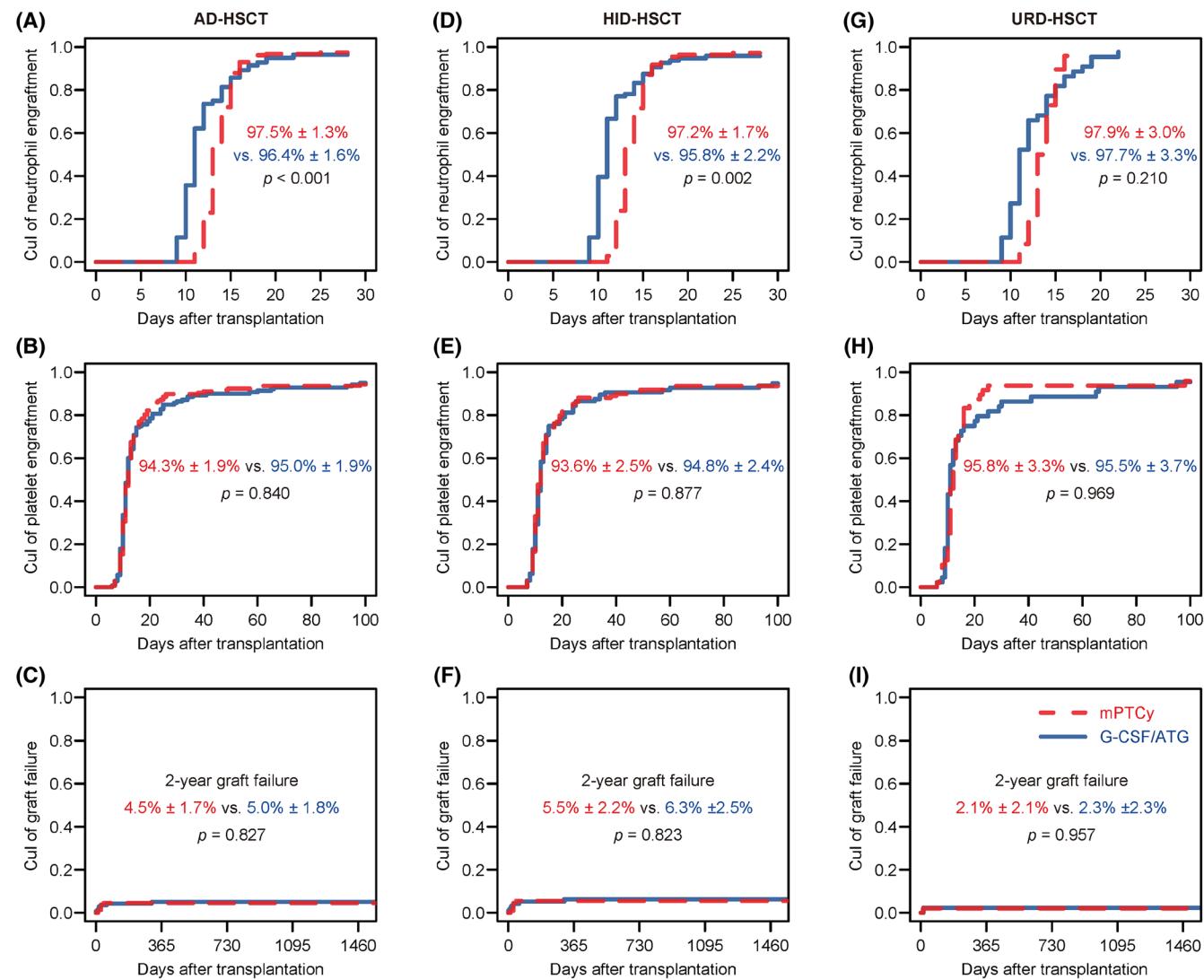
13 days, IQR 13–15 vs. 11 days, IQR 10–12,  $p < 0.001$ ; URD: 13 days, IQR 13–14.5 vs. 11 days, IQR 10–14,  $p = 0.032$ ) but similar platelet engraftment time (Table 1). The CuI of 28-day neutrophil engraftment was slightly higher in mPTCy within HID-HSCT ( $97.2\% \pm 1.7\%$  vs.  $95.8\% \pm 2.2\%$ ,  $p = 0.002$ ), but similar in URD-HSCT. CuIs for 100-day platelet engraftment and 2-year GF remained similar between mPTCy and G-CSF/ATG protocols across subgroups (Figure 2D–I).

## GVHD

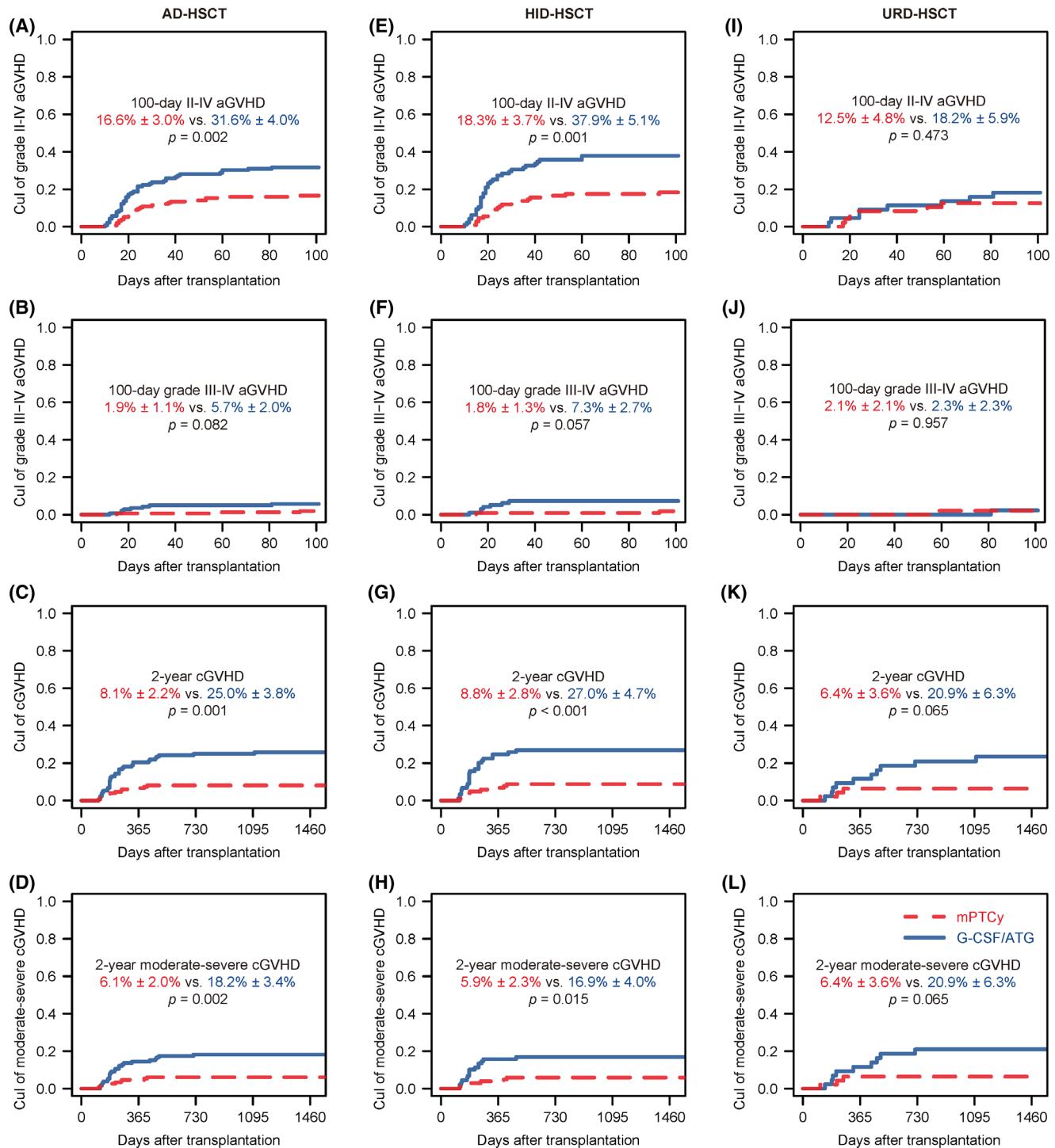
The mPTCy group demonstrated significantly lower 100-day CuI of grade II–IV aGVHD ( $16.6\% \pm 3.0\%$  vs.  $31.6\% \pm 4.0\%$ ,  $p = 0.002$ ) and reduced 2-year CuIs of both cGVHD

( $8.1\% \pm 2.2\%$  vs.  $25.0\% \pm 3.8\%$ ;  $p = 0.001$ ) and moderate-to-severe cGVHD ( $6.1\% \pm 2.0\%$  vs.  $18.2\% \pm 3.4\%$ ;  $p = 0.002$ ) (Figure 3A,C,D). The 100-day CuI of grade III–IV aGVHD was also lower ( $1.9\% \pm 1.1\%$  vs.  $5.7\% \pm 2.0\%$ ), but not statistically significant ( $p = 0.082$ ) (Figure 3B).

In the HID subgroup, the mPTCy group showed significantly lower 100-day CuI of grade II–IV aGVHD ( $18.3\% \pm 3.7\%$  vs.  $37.9\% \pm 5.1\%$ ;  $p = 0.001$ ) and reduced 2-year CuIs of both cGVHD ( $8.8\% \pm 2.8\%$  vs.  $27.0\% \pm 4.7\%$ ;  $p < 0.001$ ) and moderate-to-severe cGVHD ( $5.9\% \pm 2.3\%$  vs.  $16.9\% \pm 4.0\%$ ;  $p = 0.015$ ), although the 100-day grade III–IV aGVHD remained a non-significant difference ( $1.8\% \pm 1.3\%$  vs.  $7.3\% \pm 2.7\%$ ;  $p = 0.057$ ) (Figure 3E–H). Conversely, URD-HSCT recipients showed comparable 100-day CuI of grade II–IV ( $12.5\% \pm 4.8\%$  vs.  $18.2\% \pm 5.9\%$ ,  $p = 0.473$ ) and grade



**FIGURE 2** Comparison of engraftment and graft failure between mPTCy and G-CSF/ATG protocols. (A) 28-day neutrophil engraftment in AD-HSCT. (B) 100-day platelet engraftment in AD-HSCT. (C) 2-year GF in AD-HSCT. (D) 28-day neutrophil engraftment in HID-HSCT. (E) 100-day platelet engraftment in HID-HSCT. (F) 2-year GF in HID-HSCT. (G) 28-day neutrophil engraftment in URD-HSCT. (H) 100-day platelet engraftment in URD-HSCT. (I) 2-year GF in URD-HSCT. The CuI of neutrophil and platelet engraftment and GF were estimated using competing risk analysis based on Gray's test with death from any cause as a competing event. Statistical significance was defined as  $p < 0.05$ . AD-HSCT, alternative donor haematopoietic stem cell transplantation; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; HID, haploidentical donor; HSCT, haematopoietic stem cell transplantation; mPTCy, modified post-transplantation cyclophosphamide; URD, unrelated donor. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]



**FIGURE 3** Comparison of aGVHD and cGVHD between mPTCy and G-CSF/ATG protocols. (A) 100-day grade II-IV aGVHD in AD-HSCT. (B) 100-day grade III-IV aGVHD in AD-HSCT. (C) 2-year cGVHD in AD-HSCT. (D) 2-year moderate-severe cGVHD in AD-HSCT. (E) 100-day grade II-IV aGVHD in HID-HSCT. (F) 100-day grade III-IV aGVHD in HID-HSCT. (G) 2-year cGVHD in HID-HSCT. (H) 2-year moderate-severe cGVHD in HID-HSCT. (I) 100-day grade II-IV aGVHD in URD-HSCT. (J) 100-day grade III-IV aGVHD in URD-HSCT. (K) 2-year cGVHD in URD-HSCT. (L) 2-year moderate-severe cGVHD in URD-HSCT. The CuI of aGVHD and cGVHD was estimated using competing risk analysis based on Gray's test with death from any cause as a competing event. Statistical significance was defined as  $p < 0.05$ . AD-HSCT, alternative donor haematopoietic stem cell transplantation; aGVHD, acute graft-versus-host disease; cGVHD, chronic graft-versus-host disease; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; HID, haploidentical donor; mPTCy, modified post-transplantation cyclophosphamide. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

III-IV aGVHD ( $2.1\% \pm 2.1\%$  vs.  $2.3\% \pm 2.3\%$ ;  $p = 0.957$ ) between the mPTCy and G-CSF/ATG groups (Figure 3I,J). Although the 2-year CuIs of cGVHD and moderate-to-severe

cGVHD were lower in the mPTCy group ( $6.4\% \pm 3.6\%$  vs.  $20.9\% \pm 6.3\%$  for both), the differences were not statistically significant ( $p = 0.065$  for both) (Figure 3K,L).

## Complications

The 2-year CuI of CMV viraemia was significantly lower in the mPTCy group than in the G-CSF/ATG group ( $66.5\% \pm 3.8\%$  vs.  $76.4\% \pm 3.6\%$ ;  $p = 0.001$ ), while CMV disease incidence was comparable ( $10.2\% \pm 2.4\%$  vs.  $14.3\% \pm 3.0\%$ ;  $p = 0.275$ ). Similarly, EBV viraemia incidence was reduced with mPTCy ( $3.2\% \pm 1.4\%$  vs.  $12.1\% \pm 2.8\%$ ;  $p = 0.003$ ), whereas PTLD rates did not differ significantly ( $7.2\% \pm 2.1\%$  vs.  $4.3\% \pm 1.7\%$ ;  $p = 0.318$ ). The CuIs of CMV and EBV viraemia were lower in HID-HSCT and URD-HST, except for CMV viraemia in URD-HSCT. Subgroup-specific CuIs are detailed in the [Supporting Information Results](#).

Considering the prophylactic effects of letermovir and rituximab on CMV and EBV viraemia, we adjusted for their impact accordingly. After adjustment, HRs were 0.79 (95% CI 0.59–1.04;  $p = 0.093$ ) for CMV viraemia and 0.65 (95% CI: 0.16–2.70;  $p = 0.560$ ) for EBV viraemia in AD-HSCT with mPTCy. In the HID recipients, mPTCy reduced CMV viraemia risk (adjusted HR 0.64, 95% CI 0.46–0.88;  $p = 0.007$ ) but not EBV viraemia (HR 1.28, 95% CI 0.15–10.82;  $p = 0.82$ ). Among URD recipients, mPTCy showed no effect on CMV viraemia (adjusted HR 1.23, 95% CI 0.71–2.10;  $p = 0.460$ ), and EBV analysis was precluded by absent events in the mPTCy group.

## Survival outcome

During follow-up, there were 19 deaths in the mPTCy cohort compared to 23 in the G-CSF/ATG cohort, with infections being the primary cause in both groups ([Table 1](#)). Survivor follow-up was significantly shorter in the mPTCy group (median 866 days, IQR 639–1259 vs. 2511 days, IQR 2148–3042,  $p < 0.001$ ). The 2-year OS did not differ between groups (87.4%, 95% CI 82.2–92.9 vs. 83.6%, 95% CI 77.7–89.9,  $p = 0.336$ , [Figure 4A](#)), whereas 2-year GRFS was significantly higher with mPTCy (82.6%, 95% CI 76.8–88.8 vs. 69.3%, 95% CI 62.1–77.4,  $p = 0.007$ ; [Figure 4B](#)). In the HID-HSCT subgroup, 2-year OS remained comparable (84.7%, 95% CI 78.0–92.0 vs. 78.1%, 95% CI 70.3–86.9,  $p = 0.213$ , [Figure 4C](#)), but 2-year GRFS was superior with mPTCy (80.5%, 95% CI 73.3–88.4 vs. 65.6%, 95% CI 56.8–75.9,  $p = 0.014$ , [Figure 4D](#)). Conversely, the URD-HSCT subgroup showed no significant 2-year OS (93.8%, 95% CI 87.2–100 vs. 95.5%, 95% CI 89.5–100,  $p = 0.689$ , [Figure 4E](#)) and 2-year GRFS differences (87.5%, 95% CI 78.6–97.4 vs. 77.3%, 95% CI 65.8–90.7,  $p = 0.247$ , [Figure 4F](#)) between groups.

## Multivariate analysis

Variables with  $p < 0.1$  in univariate analysis (Fine–Gray for aGVHD/cGVHD; Cox for GRFS) are listed in [Tables S1–S3](#). In multivariable Fine–Gray models, the mPTCy protocol significantly reduced the incidence of aGVHD by day 100 (HR 0.43, 95% CI 0.26–0.71,  $p < 0.001$ ) and 2-year cGVHD

(HR 0.33, 95% CI 0.17–0.65,  $p = 0.001$ ) in the overall cohort ([Table 2](#)). Multivariable Cox regression confirmed proportional hazard (Schoenfeld residuals global  $p = 0.520$ ) and demonstrated significantly improved 2-year GRFS with mPTCy (HR 0.51, 95% CI 0.32–0.83,  $p = 0.007$ , [Table 3](#)). This benefit extended to the HID-HSCT subgroup, where mPTCy reduced 100-day aGVHD (HR 0.44, 95% CI 0.25–0.77,  $p = 0.005$ ), 2-year cGVHD (HR 0.39, 95% CI 0.18–0.86,  $p = 0.019$ ) and improved 2-year GRFS (HR 0.51, 95% CI 0.29–0.88,  $p = 0.015$ ) without proportional hazard violation (global  $p = 0.710$ ) ([Tables 2](#) and [3](#)).

Furthermore, in both the overall AD-HSCT cohort and the HID-HSCT subgroup, patients without prior ATG-based immunosuppressive therapy (IST) who received mPTCy had a lower risk of 100-day grade II–IV aGVHD, reduced 2-year cGVHD and improved 2-year GRFS (see [Supporting Information Methods and Results](#); [Tables S4–S6](#); [Figure S1](#)). Conversely, among relapsed/refractory (R/R) patients, MUD and MMUD transplants showed comparable outcomes between the mPTCy and G-CSF/ATG groups for CuIs of 100-day aGVHD and 2-year cGVHD and 2-year GRFS (see [Supporting Information Methods and Results](#); [Tables S7](#) and [S8](#); [Figures S2](#) and [S3](#)).

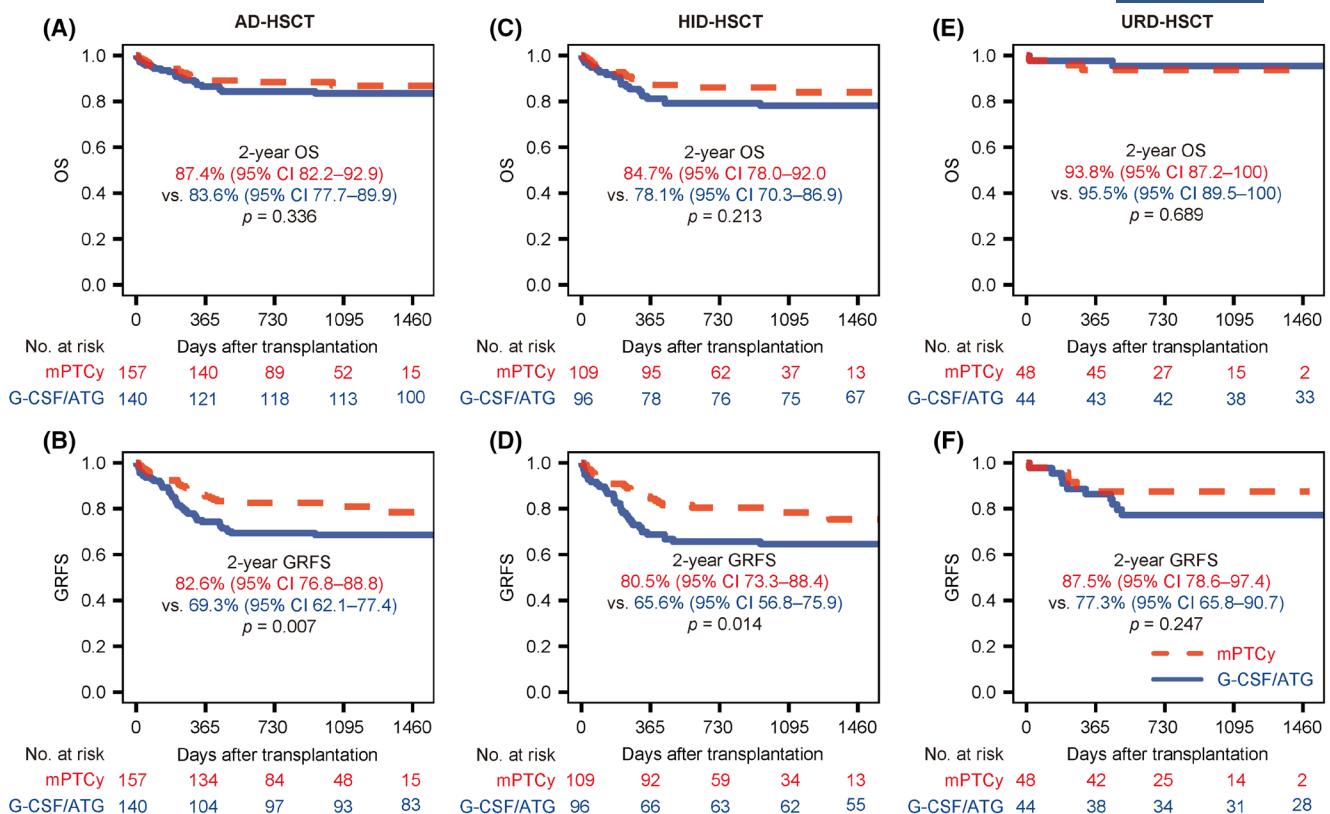
## Menstruation recovery

Although aGVHD, cGVHD and GRFS outcomes were comparable in URD recipients, we further analysed menstruation recovery in females aged 11–39 years. Given busulfan's established gonadotoxicity,<sup>26,27</sup> URD-HSCT recipients receiving mPTCy-Bu demonstrated poor 2-year CuI menstruation recovery (14.3% ± 14.3%). In contrast, mPTCy-TBI was associated with significantly higher 2-year menstruation recovery than G-CSF/ATG (66.7% ± 17.6% vs. 0%,  $p < 0.001$ ), despite comparable baseline characteristics and no differences in aGVHD, cGVHD or GRFS ([Table S9](#); [Figure S4](#)).

## DISCUSSION

This study compared SAA patients receiving mPTCy versus G-CSF/ATG protocols for AD-HSCT. The mPTCy protocol showed lower CuI of aGVHD and cGVHD and improved GRFS. Subgroup analysis revealed that these benefits were notable in HID-HSCT, while outcomes were comparable in URD-HSCT. These findings suggest mPTCy is superior to G-CSF/ATG for SAA patients undergoing AD-HSCT, particularly in HID-HSCT.

Since SAA is a non-malignant disease that does not require a graft-versus-malignancy effect, minimizing GVHD is crucial, especially in AD-HSCT. In our mPTCy protocol, the use of combined mobilized PBSCs and BM grafts facilitated faster engraftment compared to prior PTCy regimens.<sup>9,13,16</sup> Given the association of PBSCs with increased GVHD risk,<sup>28</sup> we increased the ATG dose from 4.5 mg/kg to 6.0 mg/kg and shifted its administration from days –9 to –7



**FIGURE 4** Comparison of survival outcomes between mPTCy and G-CSF/ATG protocols. (A) Two-year OS in AD-HSCT. (B) Two-year GRFS in AD-HSCT. (C) Two-year OS in HID-HSCT. (D) Two-year GRFS in HID-HSCT. (E) Two-year OS in URD-HSCT. (F) Two-year GRFS in URD-HSCT. OS and GRFS were calculated using the Kaplan-Meier method and compared with the log-rank test. Statistical significance was defined as  $p < 0.05$ . AD-HSCT, alternative donor haematopoietic stem cell transplantation; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; GRFS, relapse/rejection-free survival; HID, haploidentical donor; mPTCy, modified post-transplantation cyclophosphamide; OS, overall survival; URD, unrelated donor. [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

to days  $-5$  to  $-3$ . Although two reports show a similar incidence of GVHD between G-CSF/ATG and PTCy-based protocols in SAA HID-HSCT,<sup>20,21</sup> our mPTCy protocol, with adjusted ATG dose and timing, may enhance donor T-cell depletion and mitigate GVHD risk.

Previous studies reported the incidence of aGVHD 30.3%–31.3%<sup>8,29</sup> and 33.7%–35.4%<sup>30,31</sup> for those without received ATG-based IST and R/R patients transplanted with G-CSF/ATG-based regimens respectively. Our data show a lower incidence of aGVHD at 16.9% and 13.3% for those without received ATG-based IST and R/R patients with mPTCy compared to the G-CSF/ATG protocol, which shows an incidence of 30.2% and 40.0%, respectively, consistent with previous multicentre studies.<sup>8,29–31</sup> The incidence of cGVHD with the mPTCy protocol was also lower than with the G-CSF/ATG protocol in both patients without prior ATG-based IST and R/R patients.<sup>8,29–31</sup> Although the difference was not statistically significant in R/R recipients, the small cohort size limited the analysis power. Multivariable analysis confirms the mPTCy protocol as protective against aGVHD and cGVHD for SAA in AD-HSCT overall and in the HID-HSCT subgroup. In URD-HSCT, G-CSF-primed PBSCs (the only graft source available through the Chinese Marrow Donor Program) may increase GVHD risk versus

BM.<sup>32</sup> Yet, mPTCy showed comparable aGVHD and numerically lower cGVHD versus G-CSF/ATG, though nonsignificant (possibly due to small sample size), requiring further validation.

The OS and GRFS in SAA patients receiving HID or URD HSCT with PTCy protocol range from 78% to 97%<sup>3,4,12,20,21,33–35</sup> and 63% to 93%,<sup>3,4,17,21,35</sup> respectively. Our OS and GRFS results were comparable to those previously reported in patients without prior ATG-based IST,<sup>12,13</sup> and superior to historical data in R/R patients (OS 93.3% vs. 82.0%; GRFS: 78.8% vs. 63.0%)<sup>35</sup> respectively. Across the entire AD-HSCT cohort and within the HID-HSCT and URD-HSCT subgroups, no significant difference in 2-year OS was observed. However, similar to the GVHD outcomes, 2-year GRFS was better in the mPTCy group across the entire AD-HSCT and within HID-HSCT, but this improvement was not observed in URD-HSCT. The GRFS framework defines failure events to include not only mortality but also grade III–IV aGVHD and moderate–severe cGVHD. Hence, the lower incidence of aGVHD and cGVHD in the mPTCy-based protocol, compared to the G-CSF/ATG regimen, is crucial for improved GRFS outcomes.

The neutrophil engraftment is slower in the mPTCy group than in the G-CSF/ATG group in both HID and

TABLE 2 Multivariable analysis of risk factors for 100-day aGVHD and 2-year cGVHD cumulative incidence after transplantation.

Characteristics	AD-HSCT		HID-HSCT	
	HR (95% CI)	p	HR (95% CI)	p
<b>aGVHD</b>				
Age, years				
≤40	-	-	1	-
>40	-	-	0.42 (0.15–1.21)	0.110
Protocol				
G-CSF/ATG	1	-	1	-
mPTCy	<b>0.43 (0.26–0.71)</b>	<b>&lt;0.001</b>	<b>0.44 (0.25–0.77)</b>	<b>0.005</b>
Graft source				
BM + PBSC	1	-	-	-
PBSC	1.53 (0.26–8.93)	0.630	-	-
CD34 <sup>+</sup> cell, ×10 <sup>6</sup> /kg	-	-	1.08 (0.95–1.24)	0.250
ABO match				
Matched	1	-	1	-
Minor mismatched	1.31 (0.76–2.24)	0.410	1.45 (0.81–2.60)	0.210
Major mismatched	0.46 (0.19–1.10)	0.085	0.37 (0.11–1.24)	0.110
Different	0.82 (0.29–2.25)	0.630	0.83 (0.26–2.59)	0.740
Type of transplantation				
HID	1	-	-	-
MUD	0.19 (0.03–1.40)	0.100	-	-
MMUD	0.48 (0.08–3.02)	0.440	-	-
<b>cGVHD</b>				
Age, years				
≤40	-	-	1	-
>40	-	-	0.21 (0.03–1.62)	0.130
Protocol				
G-CSF/ATG	1	-	1	-
mPTCy	<b>0.33 (0.17–0.65)</b>	<b>0.001</b>	<b>0.39 (0.18–0.86)</b>	<b>0.019</b>
Graft source				
BM + PBSC	-	-	1	-
PBSC	-	-	1.54 (0.65–3.67)	0.320
MNC, ×10 <sup>8</sup> /kg	-	-	1.05 (0.91–1.21)	0.530
CD34 <sup>+</sup> cell, ×10 <sup>6</sup> /kg	-	-	1.12 (0.98–1.28)	0.093
ABO match				
Matched	1	-	1	-
Minor mismatched	1.81 (0.94–3.48)	0.075	1.88 (0.88–4.04)	0.110
Major mismatched	0.86 (0.32–2.29)	0.760	1.06 (0.30–3.69)	0.930
Different	1.57 (0.59–4.17)	0.370	1.12 (0.33–3.83)	0.860
History of aGVHD				
No	1	-	1	-
Yes	<b>2.54 (1.42–4.53)</b>	<b>0.002</b>	<b>2.01 (1.02–3.96)</b>	<b>0.043</b>

Abbreviations: AD-HSCT, alternative donor haematopoietic stem cell transplantation; aGVHD, acute graft-versus-host disease; BM, bone marrow; cGVHD, chronic graft-versus-host disease; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; HID, haploidentical donor; HR, hazard ratio; MMUD, HLA 9/10 mismatched unrelated donor; MNC, mononuclear cell count; mPTCy, modified post-transplantation cyclophosphamide; MUD, HLA 10/10 matched unrelated donor; PBSC, peripheral blood stem cell.

Results with statistical significance are highlighted in bold.

URD-HSCT. However, the median time to neutrophil engraftment is faster in our protocol (13 days) than in the previous PTCy protocol (17 days),<sup>13,34</sup> likely due to PBSCs graft

use. Nevertheless, no significant differences were observed in the CuI of platelet engraftment and GF between groups, whether in HID or URD-HSCT, or in the overall cohort.

TABLE 3 Multivariable analysis of risk factors for 2-year GRFS after transplantation.

Characteristics	AD-HSCT		p	HID-HSCT		p
	HR (95% CI)			HR (95% CI)		
Gender						
Female	1		-	-	-	-
Male	1.42 (0.86–2.34)		0.174	-	-	-
Protocol						
G-CSF/ATG	1		-	1		-
mPTCy	<b>0.51 (0.32–0.83)</b>		<b>0.007</b>	<b>0.51 (0.29–0.88)</b>		<b>0.015</b>
Type of transplantation						
HID	1		-	-	-	-
MUD	0.43 (0.19–1.01)		0.053	-	-	-
MMUD	0.84 (0.43–1.66)		0.621	-	-	-

Abbreviations: AD-HSCT, alternative donor haematopoietic stem cell transplantation; G-CSF/ATG, granulocyte colony-stimulating factor/anti-thymocyte globulin; GRFS, relapse/rejection-free survival; HR, hazard ratio; HID, haploidentical donor; mPTCy, modified post-transplantation cyclophosphamide; MMUD, HLA 9/10 mismatched unrelated donor; MUD, HLA 10/10 matched unrelated donor.

Results with statistical significance are highlighted in bold.

Viral infections are common complications in allo-HSCT. After adjustment for prophylaxis, no significant differences in CMV/EBV viraemia were found in the overall AD-HSCT cohort, nor for EBV in HID-HSCT or CMV in URD-HSCT subgroups. However, mPTCy significantly reduced CMV risk in HID-HSCT (HR 0.64, 0.46–0.88,  $p=0.007$ ), contrasting with prior PTCy studies<sup>20,21</sup> and suggesting mPTCy may better preserve anti-CMV immunity and mitigate CMV reactivation risk in HID-HSCT.

Fertility, a crucial component of post-transplantation quality of life, warrants attention. Busulfan in the G-CSF/ATG protocol is notably gonadotoxic and may significantly increase the risk of infertility after transplantation.<sup>26,27</sup> Although the mPTCy protocol did not demonstrate a clear advantage in aGVHD, cGVHD or GRFS in URD-HSCT, analysis of menstruation recovery in females aged 11–39 revealed a significantly higher CuI with the mPTCy-TBI protocol compared to G-CSF/ATG, potentially attributed to the low TBI dose and application of gonadal shielding.<sup>3</sup> These findings suggest that the mPTCy-TBI protocol may provide superior fertility preservation in female patients.

Our study has several limitations. First, the integration of retrospective and prospective cohorts, along with the administration of the two treatment protocols during different time periods, may introduce potential biases. However, the overall outcomes in the G-CSF/ATG group align with recent literature (aGVHD 31.3%, cGVHD 29.3%, OS 87.1%) expectation in this context.<sup>29</sup> Second, the mPTCy group has a shorter follow-up, which was adequate for assessing aGVHD but limited the long-term outcomes such as cGVHD, OS and GRFS. Third, the heterogeneity of the mPTCy protocol, including mPTCy-BU and mPTCy-TBI, may bias GVHD and engraftment outcomes. Finally, the lack of significant benefit observed in aGVHD, cGVHD and GRFS with mPTCy in the URD-HSCT subgroup may be attributable to the limited sample size.

In conclusion, the mPTCy-based protocol is more effective than G-CSF/ATG for SAA patients undergoing AD-HSCT, particularly in HID-HSCT, with lower aGVHD, cGVHD incidence and improved GRFS. Larger cohort data and randomized trials are needed to further clarify clinical outcomes across AD-HSCT protocols.

## AUTHOR CONTRIBUTIONS

Shunqing Wang and Yuping Zhang contributed to the conception and design of the research, patient management and manuscript revision. Liangliang Wu treated the patients, analysed the data and wrote the original manuscript. Ming Zhou and Xiaowei Chen contributed to patient management, data analysis and the drafting of the manuscript. Ruiqing Zhou, Yumiao Li, Caixia Wang, Shilin Xu, Fangfang Yang, Yuling Zhang, Xiaoqing He and Xinxin Li treated the patients and provided data. All authors approved the final version of the manuscript. The corresponding authors (Shunqing Wang and Yuping Zhang) had final responsibility for the decision to submit the paper for publication.

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## CONFLICT OF INTEREST STATEMENT

The authors declare no competing financial interests.

## DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

## ETHICS STATEMENT

The study was approved by the Institutional Review Board of Guangzhou First People's Hospital (B-2019-004-01).

## PATIENTS CONSENT STATEMENT

Written informed consent was obtained from all patients or their legal guardians in accordance with the Declaration of Helsinki.

## CLINICAL TRIAL REGISTRATION

The mPTCy protocol was prospectively registered at the Chinese Clinical Trial Registry (ChiCTR2000038297).

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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